

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **OLAV SOLGAARD ET AL.**

Docket No.

UC97-156-7

Serial No.

09/813,446

Filing Date

MARCH 20, 2001

Examiner

LEE, J.

Group Art Unit

2874

Invention:

MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH



I hereby certify that the following correspondence:

Information Disclosure Citation (PTO-1449) (Page 1)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

May 22, 2002

(Date)

Jerry V. King

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)EL737163709US

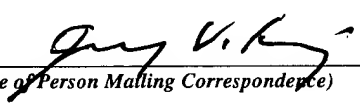
("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

RECEIVED
MAY 28 2002
TECHNOLOGY CENTER 2800

05-24-02

2874

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. UC97-156-8	
Applicant(s): OLAV SOLGAARD ET AL.				
Serial No. 09/813,446	Filing Date MAY 4, 2002	Examiner LEE, J.		Group Art Unit 2874
Invention: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH #17				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; height: 60px; margin: 10px 0;"></div> <p>Citations (4)</p> <p style="text-align: center;">(Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p style="text-align: center;"><u>May 22, 2002</u> (Date)</p> <div style="text-align: right; margin-top: 20px;"><p><u>Jerry V. King</u> (Typed or Printed Name of Person Mailing Correspondence)</p><p><u></u> (Signature of Person Mailing Correspondence)</p><p><u>EL737163690US</u> (“Express Mail” Mailing Label Number)</p></div> <p style="text-align: center; margin-top: 40px;">Note: Each paper must have its own certificate of mailing.</p>				

RECEIVED
MAY 29 2002
TC 2800 MAIL ROOM

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **OLAV SOLGAARD ET AL.**

Docket No.

UC97-156-7

Serial No.

09/813,446

Filing Date

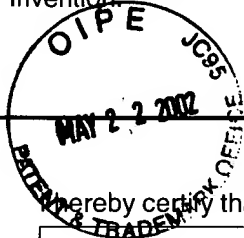
MARCH 20, 2001

Examiner

LEE, J.

Group Art Unit

2874

Invention: **MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**

I hereby certify that the following correspondence:

Citations (4)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

May 22, 2002

(Date)

Jerry V. King

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)EL737163709US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

RECEIVED
MAY 28 2002
TECHNOLOGY CENTER 2800

03-24-02

AF/2800
\$

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(d))

Docket No.
UC97-156-7

In Re Application Of: **OLAV SOLGAARD ET AL.**

#17/IDS
m Richards

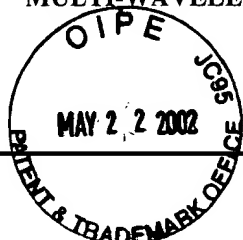
Serial No.
09/813,446

Filing Date
MARCH 20, 2001

Examiner
LEE, J.

Group Art Unit
2874

Title: **MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**



Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

- The Information Disclosure Statement submitted herewith is being filed after a Final Action under Section 1.113, or a Notice of Allowance under Section 1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is a certification as specified in 37 CFR 1.97(e).
- Applicant hereby petitions for consideration of the Information Disclosure Statement submitted herewith. The Petition Fee specified in 37 CFR 1.17(i) is to be paid as follows:
 - ☒ A check in the amount of **\$130.00** is attached.
 - ☐ Charge Deposit Account No. _____ in the amount of _____
 A duplicate of this sheet is attached.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account

Signature

Dated: May 22, 2002

JOHN P. O'BANION, REG. NO. 33,201
O'BANION & RITCHEY LLP
400 CAPITOL MALL, SUITE 1550
SACRAMENTO, CA 95814
(916) 498-1010

RECEIVED
MAY 28 2002
TECHNOLOGY CENTER 2800

03/0002 28000138 09012446

01 00122

130.00 02

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **OLAV SOLGAARD ET AL.**

Docket No.

UC97-156-7

Serial No.

09/813,446

Filing Date

MARCH 20, 2001

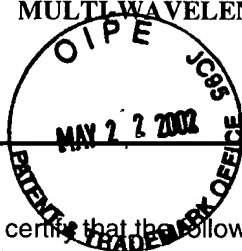
Examiner

LEE, J.

Group Art Unit

2874

Invention:

MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

I hereby certify that the following correspondence:

Transmittal of Information Disclosure Statement (Under 38 CFR 1.97(d) (Page 1))*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

May 22, 2002*(Date)***Jerry V. King***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EL737163709US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

RECEIVED
MAY 28 2002
TECHNOLOGY CENTER 2800

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
UC97-156-7

In Re Application Of: **OLAV SOLGAARD**

Serial No.
09/813,446

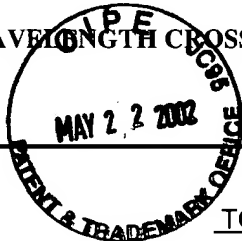
Filing Date
MARCH 20, 2001

Examiner
LEE, J.

Group Art Unit
2874

Invention:

MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH



RECEIVED
MAY 28 2002
TECHNOLOGY CENTER 2800

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.


Signature

Dated: **May 22, 2002**

**JOHN P. O'BANION, REG.NO. 33,201
O'BANION & RITCHEY LLP
400 CAPITOL MALL, SUITE 1550
SACRAMENTO, CA 95814
(916) 498-1010**

I certify that this document is being deposited on
with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **OLAV SOLGAARD ET AL.**

Docket No.

UC97-156-7

Serial No.

09/813,446

Filing Date

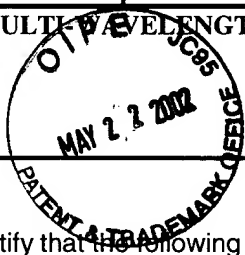
MARCH 20, 2001

Examiner

LEE, J.

Group Art Unit

2874

Invention: **MULTI-LENGTH CROSS-CONNECT OPTICAL SWITCH**

I hereby certify that the following correspondence:

Statement Under 37 CFR 1.97(e) Accompanying Information Disclosure Statement (Page 1)*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

May 22, 2002*(Date)*Jerry V. King*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EL737163709US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

RECEIVED
MAY 28 2002
TECHNOLOGY CENTER 2800